



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

April 17, 2020

Re: Animal Welfare Assurance  
#A3284-01 (OLAW Case 2C)

Dr. Jill C. Pipher  
Vice President for Research  
Brown University  
47 George St. - Box 1937  
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 6, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Brown University. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Brown University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: two mice found dead in a cage. On March 26, 2020 the Center for Animal Resources and Education (CARE) staff found two mice dead within their home cage and it was observed that the cage did not contain any food. The IACUC discussed the incident and determined it was reportable. The following corrective actions were taken:

- The incident was reviewed with all CARE staff.
- The cage change interval was temporarily reduced from once every 10 days to once every 8 days while split team staffing is required to address safety and staffing concerns during the COVID-19 crisis.

It is noted that the final report did not include the funding source involving the incident. In the future, please include the source of funding and provide grant numbers for all PHS or NSF supported work. Based on its assessment of this explanation, OLAW understands that Brown University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

(b) (6)

Jacquelyn T. Tubbs, DVM  
Veterinary Medical Officer  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC Contact



# BROWN

April 6, 2020

Brent Morse, DVM  
 Director, Division of Compliance Oversight  
 Office of Laboratory Animal Welfare  
 National Institutes of Health  
 Rockledge 1, Suite 360  
 6705 Rockledge Drive  
 Bethesda, MD 20892

RE: Report of noncompliance, Assurance #A3284-01

Dear Dr. Morse:

The Brown University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official (Jill C. Pipher, Ph.D.), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

On March 26, 2020, the Center for Animal Resources and Education (CARE) staff found 2 mice dead within their home cage. It was observed that the cage did not contain any food.

The IACUC discussed the incident of animal welfare concern related to a PHS funded approved IACUC protocol and determined that it is Animal Care related and reportable under the NIH NOT-OD-05-034, "*Guidance on Prompt Reporting to OLAW under the PHS Policy on Humane Care and Use of Laboratory Animals.*"

The following corrective action has taken, or will take, place:

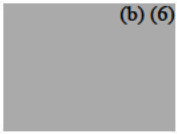
- The incident was reviewed with all CARE staff.
- The cage change interval has been temporarily reduced from once every 10 days to once every 8 days while split team staffing is required to address safety and staffing concerns during the COVID-19 crisis.

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact [REDACTED] (b) (6)


[REDACTED] (b) (6) at [REDACTED] (b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)  


Jill C. Pipher, Ph.D.  
Vice President for Research, Institutional Official  
Brown University

cc: Diane Hoffman-Kim, PhD, IACUC Chair  
 (b) (6)  
Keri Godin, Director, Office of Research Integrity  
Lara Helwig, DVM, Attending Veterinarian  
AAALACi

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Monday, April 13, 2020 7:22 AM  
**To:** IACUC  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Report of non compliance - Assurance D16-00183

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** (b) (6) On Behalf Of IACUC  
**Sent:** Friday, April 10, 2020 10:42 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** accredit@aaalac.org; Jill Pipher <jill\_pipher@brown.edu>; Diane Hoffman-Kim <Diane\_Hoffman-Kim@brown.edu>; (b) (6) Keri Godin <keri\_godin@brown.edu>; Lara Helwig <lara\_helwig@brown.edu>  
**Subject:** Report of non compliance - Assurance D16-00183

Hello,

Please find attached a recent incident of non compliance discussed by the Brown University IACUC during its April 3, 2020 convened meeting. Please let me know if you have any questions.

Sincerely,

(b) (6)

