Dear Dr. Koker,

Attached please find Dr. Tubbs' final response to OLAW Case A3564-I.

If you have any questions, feel free to contact us by phone or by e-mail.

Best Regards,
Keri

Keri Walker
Program Analyst (Contractor)
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
6700B Rockledge Dr., Suite 2500
Bethesda, MD 20892
301-435-2390
keri.walker@nih.gov

DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

October 5, 2020

Re: Animal Welfare Assurance
A3564-01 [OLAW Case I]

Dr. Joh Koker
Interim Provost and Vice Chancellor
University of Wisconsin-Oshkosh
800 Algoma Boulevard
Oshkosh, WI 54901-8622

Dear Dr. Koker,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 28, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin Oshkosh following up on an initial July 30, 2020 notification by email.

According to the information provided, this Office understands that the University of Wisconsin Oshkosh Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to perform a daily animal check. The final report states on July 22, 2020 an animal room housing thirteen-lined ground squirrels was missed for daily animal checks. The error was discovered by the Laboratory Animal Manager the following morning. The manager observed each animal's health and their food and water supply, and all animals appeared healthy per the report. The principal investigator (PI) was contacted who missed the room check. It was also determined that the back-up system for ensuring completion of animal care checks failed because of human error. The PI instructed an animal caretaker to come in and provide food and water and complete the animal checks for that day. It is stated that at the time of submission of this report, all animals remain healthy and well.

A subcommittee of the IACUC reviewed the incident on July 31, 2020 and suggested strengthening the standard operating procedure (SOP) for daily room checks. The SOP was updated to include the following:

- The person assigned checks must state the name of the lab and facility in which animal checks were completed when sending the text to the backup person.

- The backup person is responsible for contacting the assigned person by 4:00 PM if a text is not received to confirm that checks were completed.

- If the backup person assigned cannot reach the assigned person, the backup should report to the facility to complete room checks.

The IACUC discussed the incident and approved the updated SOP at the meeting on September 14, 2020. It is stated the individual that missed the check received a written notice about the importance of checking the animals daily and attended a refresher training with the Laboratory Animal Manager. It is noted that this research is supported by PHS funds.

21-01043_000008

Based on its assessment of this explanation, OLAW understands that the University of Wisconsin Oshkosh has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T. Tubbs, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact
    Robert M. Gibbens, DVM, Director, Animal Welfare Operations

From:	Gibbens, Robert - APHIS
To:	Hovancsak, Catherine F - APHIS; Martha - APHIS Keller (Martha.Keller@usda.gov)
Subject:	FW: OLAW Case A3564-I
Date:	Monday, October 5, 2020 1:23:00 PM
Attachments:	SIGNED Compliance Letter University of Wisconsin Oshkosh I.pdf

FYI

From: Walker, Keri (NIH/OD) [C] [mailto:keri.walker@nih.gov]
Sent: Monday, October 5, 2020 11:04 AM
To: koker@uwosh.edu
Cc: Gibbens, Robert - APHIS <robert.m.gibbens@usda.gov>;   (b) (6)
Subject: OLAW Case A3564-I

Dear Dr. Koker,

Attached please find Dr. Tubbs' final response to OLAW Case A3564-I.

If you have any questions, feel free to contact us by phone or by e-mail.

Best Regards,
Keri

Keri Walker
Program Analyst (Contractor)
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
6700B Rockledge Dr., Suite 2500
Bethesda, MD 20892
301-435-2390
keri.walker@nih.gov

From:	Hovancsak, Catherine F - APHIS
To:	Gibbens, Robert - APHIS; Keller, Martha - APHIS
Subject:	RE: OLAW Case A3564-I
Date:	Tuesday, October 6, 2020 10:33:53 AM

Thanks. We'll check it out.

Cathy

From: Gibbens, Robert - APHIS
Sent: Monday, October 5, 2020 12:23 PM
To: Hovancsak, Catherine F - APHIS <cathy.f.hovancsak@usda.gov>; Keller, Martha - APHIS <Martha.Keller@usda.gov>
Subject: FW: OLAW Case A3564-I

FYI

From: Walker, Keri (NIH/OD) [C] [mailto:keri.walker@nih.gov]
Sent: Monday, October 5, 2020 11:04 AM
To: koker@uwosh.edu
Cc: Gibbens, Robert - APHIS <robert.m.gibbens@usda.gov>;   (b) (6)
Subject: OLAW Case A3564-I

Dear Dr. Koker,

Attached please find Dr. Tubbs' final response to OLAW Case A3564-I.

If you have any questions, feel free to contact us by phone or by e-mail.

Best Regards,
Keri

Keri Walker
Program Analyst (Contractor)
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
6700B Rockledge Dr., Suite 2500
Bethesda, MD 20892
301-435-2390
keri.walker@nih.gov