

**From:** [Walker, Keri \(NIH/OD\) \[C\]](#)  
**To:** [Alison.Lakin@CUAnschutz.edu](mailto:Alison.Lakin@CUAnschutz.edu)  
**Cc:** [iacuc@cuanschutz.edu](mailto:iacuc@cuanschutz.edu) (b) (6); [Gibbens, Robert - APHIS](#)  
**Subject:** OLAW Case A3269-3W  
**Date:** Thursday, October 29, 2020 11:05:26 AM  
**Attachments:** [SIGNED Compliance Letter University of Colorado Denver 3W.pdf](#)

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Dear Dr. Lakin,

Attached please find Dr. Tubbs' final response to OLAW Case A3269-3W.

If you have any questions, feel free to contact us by phone or by e-mail.

Best Regards,  
Keri

*Keri Walker  
Program Analyst (Contractor)  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
6700B Rockledge Dr., Suite 2500  
Bethesda, MD 20892  
301-435-2390  
[keri.walker@nih.gov](mailto:keri.walker@nih.gov)*



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

October 29, 2020

Re: Animal Welfare Assurance  
A3269-01 [OLAW Case 3W]

Dr. Alison Lakin  
Associate Vice Chancellor  
for Regulatory Compliance  
University of Colorado Health Sciences Center  
13001 East 17th Place  
Anschutz Medical Campus Bldg. 500  
Denver, Colorado 80262

Dear Dr. Lakin,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 21, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Colorado-Denver. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Colorado-Denver Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: a missed daily health check of guinea pigs. The final report states investigative results from the Office of Laboratory Animal Resources (OLAR) determined the error was due to a technician misreading the assignment sent via email. The health check was later performed, and no health concerns or adverse events were identified. OLAR reviewed its practice of emailing work assignments and will now also provide a written assignment sheet to staff members. The OLAR staff member was held responsible according to OLAR's discipline process. The IACUC accepted the corrective actions taken at a convened meeting.

It is noted that the final report did not include the funding source involving the incident. In the future, please include the source of funding and provide grant numbers for all PHS, NSF or NASA supported work. Based on its assessment of this explanation, OLAW understands that the University of Colorado-Denver has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T. Tubbs, DVM  
Animal Welfare Program Specialist  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC Contact  
Robert M. Gibbens, DVM, Director, Animal Welfare Operations

**From:** [Gibbens, Robert - APHIS](#)  
**To:** [Tims, Tanya A - APHIS](#); [Keller, Martha - APHIS](#)  
**Subject:** FW: OLAW Case A3269-3W  
**Date:** Thursday, October 29, 2020 11:13:00 AM  
**Attachments:** [SIGNED Compliance Letter University of Colorado Denver 3W.pdf](#)

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fyi

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**From:** Walker, Keri (NIH/OD) [C] <keri.walker@nih.gov>  
**Sent:** Thursday, October 29, 2020 9:04 AM  
**To:** Alison.Lakin@CUAnschutz.edu  
**Cc:** iacuc@cuanschutz.edu; (b) (6) Gibbens, Robert - APHIS <robert.m.gibbens@usda.gov>  
**Subject:** OLAW Case A3269-3W

Dear Dr. Lakin,

Attached please find Dr. Tubbs' final response to OLAW Case A3269-3W.

If you have any questions, feel free to contact us by phone or by e-mail.

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