



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

December 10, 2020

Re: Animal Welfare Assurance
#A3284-01 (OLAW Case 2G)

Dr. Jill C. Pipher
Vice President for Research
Brown University
47 George St. - Box 1937
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 16, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Brown University. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Brown University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to institutional policies/procedures. The final report states on October 11, 2020 an animal care technician informed the veterinarian a mouse was identified with a tumor exceeding size limits per the IACUC-approved Rodent Tumor Policy. In response, the animal was euthanized the same day. The IACUC discussed the event at its convened meeting on November 6, 2020. The report states the committee understands the following corrective actions have occurred or will take place:

- The laboratory was retrained on the acceptable methods for evaluating tumor burden as described within the Rodent Tumor Policy.
- The laboratory will record tumor length, width, and calculated volumes for any tumor over 8mm and animal weight every 2-3d. The records will always be available to CARE in the animal room.

It is noted that this research is supported by PHS funds. Based on its assessment of this explanation, OLAW understands that Brown University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn

T. Tubbs -S

Jacquelyn T. Tubbs, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2020.12.10
15:13:50 -05'00'

cc: IACUC Contact



BROWN

A3284-26

November 16, 2020

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

RE: Noncompliance Report, Assurance D16-00183 (A3284-01)
NIH Award No. CA173453

Dear Dr. Morse:

The Brown University IACUC, through the Institutional Official (Jill C. Pipher, PhD), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

On October 11, 2020 An Animal Care Technician notified the veterinarian that a mouse was found with a tumor exceeding allowable size limits per the IACUC-approved Rodent Tumor Policy. The mouse was euthanized that day.

The IACUC discussed this adverse event report at its convened meeting on November 6, 2020 and found this to be an animal welfare concern. The committee understands that the following corrective action has taken or will take place:

- The lab was retrained on the acceptable methods for evaluating tumor burden as described within the IACUC-approved Rodent Tumor Policy.
- The lab will record tumor length, width, and calculated volumes for any tumor over 8mm and animal weight every 2-3 days. These records are available to CARE at all times in the animal room

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact (b) (6)

(b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Jill C. Pipher, PhD
Vice President for Research, Institutional Official
Brown University, Box 1937

cc: Diane Hoffman-Kim, PhD, IACUC Chair
Lara Helwig, DVM, Attending Veterinarian

(b) (6)

Keri Godin, Senior Director, Office of Research
Integrity

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, November 23, 2020 10:57 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Event Report- Assurance D16-00183 (A3284-01)

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6) **On Behalf Of** IACUC
Sent: Monday, November 23, 2020 10:55 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Jill Pipher <jill_pipher@brown.edu>; Lara Helwig <lara_helwig@brown.edu>; Keri Godin <keri_godin@brown.edu>;
accredit@aaalac.org; (b) (6)
Subject: Event Report- Assurance D16-00183 (A3284-01)

Hello,

Please find attached a recent incident of noncompliance discussed by the Brown University IACUC during its November 6, 2020 convened meeting. Please let me know if you have any questions.

Sincerely,

(b) (6)



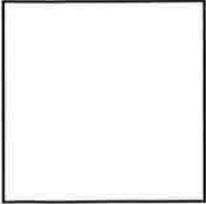
Helpful Links:

- Brown IACUC website: <http://www.brown.edu/research/RPO/IACUC>
- InfoEd: infoed.brown.edu

Want to Know More About Conducting Research At Brown?

Subscribe to Research Notes! This monthly newsletter covers important updates from the Office of the Vice President for Research.





Brown Ethics and Compliance Reporting System is an Anonymous and Confidential Hotline to report compliance issues and unethical activity. The hotline is managed by a third party and all reports are given careful attention. Reporting a concern will not jeopardize your employment and is a service to "Your Brown." Make "Your Voice" heard, call 877-318-9184 or [click here](#) to report.