



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

September 1, 2020

Re: Animal Welfare Assurance
#A3284-01 (OLAW Case 2E)

Dr. Jill Pipher
Vice President for Research
Brown University
47 George Street -Box 1937
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your letter dated August 26, 2020 reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Brown University. According to the information provided, OLAW understands that during semi-annual facility inspections on July 13, 2020, it was determined that a laboratory failed to provide post-operative monitoring to mice for the required three days, and thermal support, as approved within the IACUC animal use protocol. According to the PI this was due to a misunderstanding between lab members. This animal activity was PHS-supported.

Corrective and preventive actions included the lab creating a plan to ensure someone will be available to care for the animals according to their approved IACUC protocol moving forward. The PI confirmed that they will provide post-operative thermal support moving forward and has communicated this to the lab.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate this incident, provide corrective measures, and prevent recurrence. OLAW concurs that the incident warranted reporting. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.
Morse -S
Date: 2020.09.01 09:35:48 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



BROWN

August 26, 2020

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

RE: Noncompliance Report, Assurance D16-00183 (A3284-01)
National Institute of Health Award (5R01DC017145).

Dear Dr. Morse:

The Brown University IACUC, through the Institutional Official (Jill C. Pipher, PhD), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

During semi-annual facility inspections July 13, 2020, the inspection team identified non-compliance relating to failure of the laboratory to provide post-operative monitoring for the required three days as approved within the IACUC animal use protocol and failure of the lab to provide post-operative thermal support to animals that underwent survival surgery. There were no mouse animal deaths as a result of this non-compliance.

The IACUC discussed this issue of noncompliance at its convened meeting on August 7, 2020, and understands that the following corrective actions have taken or will take place:

- The PI acknowledged that there was a misunderstanding between the lab members as it related to the approved phase one research plan for when members could enter the lab based on Institutional COVID-19 Policies. The lab created a plan to ensure someone will be available to care for the animals according to their approved IACUC protocol moving forward.
- The PI confirmed that they will provide post-operative thermal support moving forward and has communicated this to the lab.

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact (b) (6)

(b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Jill C. Pipher, PhD
Vice President for Research, Institutional Official
Brown University, Box 1937

cc: Diane Hoffman-Kim, PhD, IACUC Chair
Lara Helwig, DVM, Attending Veterinarian (b) (6)
Keri Godin, Senior Director, Office of Research Integrity

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, August 28, 2020 7:21 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Event Report - Assurance D16-00183

Thank you for this report. We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director

From: (b) (6) On Behalf Of IACUC
Sent: Wednesday, August 26, 2020 10:53 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Jill Pipher <jill_pipher@brown.edu>; (b) (6) Keri Godin <keri_godin@brown.edu>; Diane Hoffman-Kim <Diane_Hoffman-Kim@brown.edu>; Lara Helwig <lara_helwig@brown.edu>
Subject: Event Report - Assurance D16-00183

Hello,

Please find attached a recent incident of non compliance discussed by the Brown University IACUC during its August 7, 2020 convened meeting. Please let me know if you have any questions.

Sincerely,

(b) (6)



Helpful Links:

- Brown IACUC website: <http://www.brown.edu/research/RPO/IACUC>
- InfoEd: infoed.brown.edu

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